

DAVID W. CRIST, CFP®

PRESIDENT



Becoming an investor entails a sense of risk, chance, and relative loss of control, which can be intimidating to any potential investor. As President of WIN-TEAM, David Crist understands these concerns while fluently resolving them through his understanding of and extensive experience in the Financial Services industry. Entering the finance



industry in 1985, David has achieved and built on incredible success since he started specializing in asset allocation and portfolio management over twenty years ago. Entrusted by his clients, David goes above and beyond to ensure that every client rests well each night knowing that their portfolio is in good hands. A fifth-generation Californian, David studied business and finance at California State University at Fullerton. David achieved the status of Certified Financial Planner (CFP®) in 1999 by completing the financial planning curriculum offered through the College for Financial Planning in Denver, Colorado and passing the CFP Board of Standard's certification examination after meeting specific experience requirements. David is also an Investment Advisory Representative, Registered Representative, and Designated Registered Principal of First Allied Securities, Inc. (broker/dealer), and possesses the following licenses issued by FINRA:

- Series 7: General Securities Representative (1989)
- Series 24: General Securities Principal (1992)
- Series 51: Municipal Fund Securities Principal (2003)
- Series 63: Uniform Securities Agent (1993)
- Series 65: Uniform Investment Advisor (1999)
- Series 99: Operations Professional (2011)
- CA Insurance License #0C15958

David designs and implements asset management strategies to help achieve the client's objectives and long-term investment goals by considering the client's unique financial situation. Wealth accumulation, wealth preservation, consistent retirement income, business planning, college and tax planning, and other major funding needs are all considered in the investment selection, portfolio design, and subsequent management. In doing this David removes uncertainty from the decision-making process, letting the portfolio be governed by precise allocation models. To ensure that strict regulatory mandates are met, his responsibilities as a Designated Registered Principal of First Allied Securities, Inc. include compliance matters as well as compliance supervision of outside branch offices. Prior to being the President of WIN-TEAM, David served as the Senior Vice President for Worldwide Investment Network, a registered investment advisory firm and business consulting firm. David has also held a number of positions throughout his career, which include the following:

- OSJ Branch Manager for SII Investments, Inc.
- Managing Executive and OSJ Branch Manager for Royal Alliance Associates
- Regional Director and OSJ Branch Manager for Financial Network Investment

Recognized for his outstanding work, David has been listed in the Who's Who Registry of Global Business Leaders and Who's Who in Finance and Industry, lofty accomplishments in an industry notoriously known for its immense competitiveness. With his impressive portfolio investment history, David has proven time and time again that his clients are right to entrust him with their retirement, developing lifetime relationships with them and letting them know that with the trust they commit to him, he commits a lifetime of service to them. David also does work in the community, being honored along with Anthony Reguero by the Volunteer Center of Greater Orange County, in conjunction with the nationally acclaimed Points of Light Foundation, for "Excellence in Corporate Community Service" for his work with The WIN Foundation. In his free time David enjoys coaching youth sports and spending time with his wife Shelby and their two sons, Robert and Thomas. They reside in Ladera Ranch, California.