

E. ANTHONY REGUERO, ChFC

OF COUNSEL



E. Anthony Reguero has truly been a leader in the Financial Services Industry for over 25 years. Anthony is an Investment Advisory Representative of Worldwide Investment Network, a tax and investment specialist, and consultant to WIN-TEAM Advisory Services. Anthony's unique ability of helping others has set him apart in the industry, and created reciprocal success for himself, his employees, and his clients.

Anthony started from humble beginnings. As a high school dropout he joined the US Army in 1978, and served his country for 3 years as a Chemical Operations Specialist. Anthony entered the Financial Services Industry in 1981. By 1985, Anthony was on the vanguard in becoming a Fee-based Investment Advisor, well ahead of the crowd, and he has preserved this vision of 'out of the box' innovation to stay at the forefront of the industry. Anthony's goal is to build and preserve a lifetime relationship with his clients, and to serve not just as an advisor, but also as an advocate for all of their financial needs.

A brilliant strategist, Anthony is real estate licensed and assists his clients in all their large negotiations. Anthony earned his Chartered Life Underwriter (CLU) designation in 1985 from The American College in Bryn Mawr, Pennsylvania, as well as his Chartered Financial Consultant (ChFC) designation in 1988, widely recognized as the highest level of studies in the financial services profession. He was previously licensed by FINRA as a Series 7 General Securities Representative; Series 24 General Securities Principal; Series 63 Uniform Securities Agent; Series 65 Uniform Investment Advisor; and Qualified Arbitrator.

Even before obtaining his FINRA licenses, in 1981 Anthony earned life and disability insurance licenses for 7 states, including California (CA Insurance License #0612567).

Anthony founded Financial Engineering Concepts, Inc. in 1985 and served as President of the firm until 1989, when he expanded his vision and created Worldwide Investment Network, Inc., a registered investment advisory firm, and served for 10 years as Chief Executive Officer. With over 20 financial advisors and consultants, and supported by more than 45 staff members, Worldwide Investment Network became one of the most successful privately owned financial services firms in Southern California. Anthony built upon his firm's growth, created several successful divisions within, and in 1997 sold them to their presidents. During this time Anthony also served as Regional Director and OSJ Branch Manager for Financial Network Investment Corporation (registered broker/dealer) and as Managing Executive and OSJ Branch Manager for Royal Alliance Associates (registered broker/dealer)

Anthony's success has been recognized on countless occasions and he has earned numerous awards and honors in his 25 years of service. Among his accomplishments, Anthony has been listed

in the "Who's Who" of Professional Speakers, the "Who's Who" in Finance and Industry, and the "Who's Who" of Emerging Leaders In The West. He was chosen by the Orange County Chamber of Commerce and the US Small Business Association as one of only seven finalists for Small Business Person of the Year, and was honored for "Excellence in Corporate Community Service" in 1995 and 1996 for his work with The WIN Foundation (honors conferred by the Volunteer Center of Greater Orange County in conjunction with the nationally acclaimed Points of Light Foundation). Anthony has been profiled in the following periodicals:

- Financial Planning Magazine
- Financial Services Week
- Dow Jones Investment Advisor
- CFP Magazine
- The Denver Post
- Royal Alliance Sourcebook

Among everything that Anthony has achieved, he most substantially possesses a desire to share his unique ability of helping others win. Anthony has frequently spoken at industry events and for numerous industry and business groups on topics such as personal growth, professional achievement, wealth accumulation strategies, and entrepreneurial skills, with a brief overview of events and groups as follows:

Events

- National Success Forum of the International Association for Financial Planning
- Southern California Financial Planning Conferences
- Southern California Symposium for Advanced Planning

Groups

- Harvard Alumni Association
- Pepperdine University
- Securities America, Inc., Royal Alliance Associates, FNIC
- The Association of Individual Investors
- Lincoln Financial Group
- Life Underwriters Association

Anthony's service in the armed forces created a discipline within him that has helped him remain focused, successful, and a leader in the finance industry, and he takes great pride in the opportunity he has had to serve the country. Anthony also served in the community on the Orange County, California Sheriff Reserves Unit, and has assisted in Army recruitment, lending his speaking skills as a Keynote Speaker at the U.S. Army 3rd Recruiting Brigade's Senior Leader's Conference in 1999.

With a true passion for life, Anthony has many endeavors outside of the financial arena. To name a few, he is an ATP pilot with over 20 years of experience and holds his Rotor Wing Rating. This has allowed him to fly across the Western United States to meet with clients and speak at seminars, while doing what he loves. Anthony also focuses on his enthusiasm for cycling and races in the Masters Category as a CAT4 cyclist. While Anthony involves himself in many causes and has many passions, he devotes the majority of his time and energy to his wife, Marianne, and five children.

*E. Anthony Reguero is not an attorney and is not representing himself as such. The title "Of Counsel" is a description of his relationship with WIN-TEAM Advisory Services.